DOAS

The Diamond Open Access Standard

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https://zenodo.org/records/12179619

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DIAMAS

- Duration: 2022 - 2025
- Start date: September 2022
- Budget: €3M (Horizon Europe)
- Participants: 23 organizations providing public services for academic publishing from 12 European countries

https://diamasproject.eu

WP1: Coordinating the Project. Lead : AMU

WP2: Mapping the European Landscape of IPSPs. Lead: UiT

WP3: Setting Standards and Assessing Quality Gaps. Lead: FECYT
Develope EQSIP (now DOAS) and a Self-assessment tool

WP4: Building Capacity Through Knowledge-Sharing. Lead: OPERAS

WP5: Exploring & Supporting the Sustainability of Institutional Publishing. Lead: SPE

WP6: Providing Recommendations for Policies and Strategies. Lead: JISC

WP7: Outreach, Dissemination, Engagement, Exploitation. Lead: LIBER

OUTCOMES

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quality as a public good

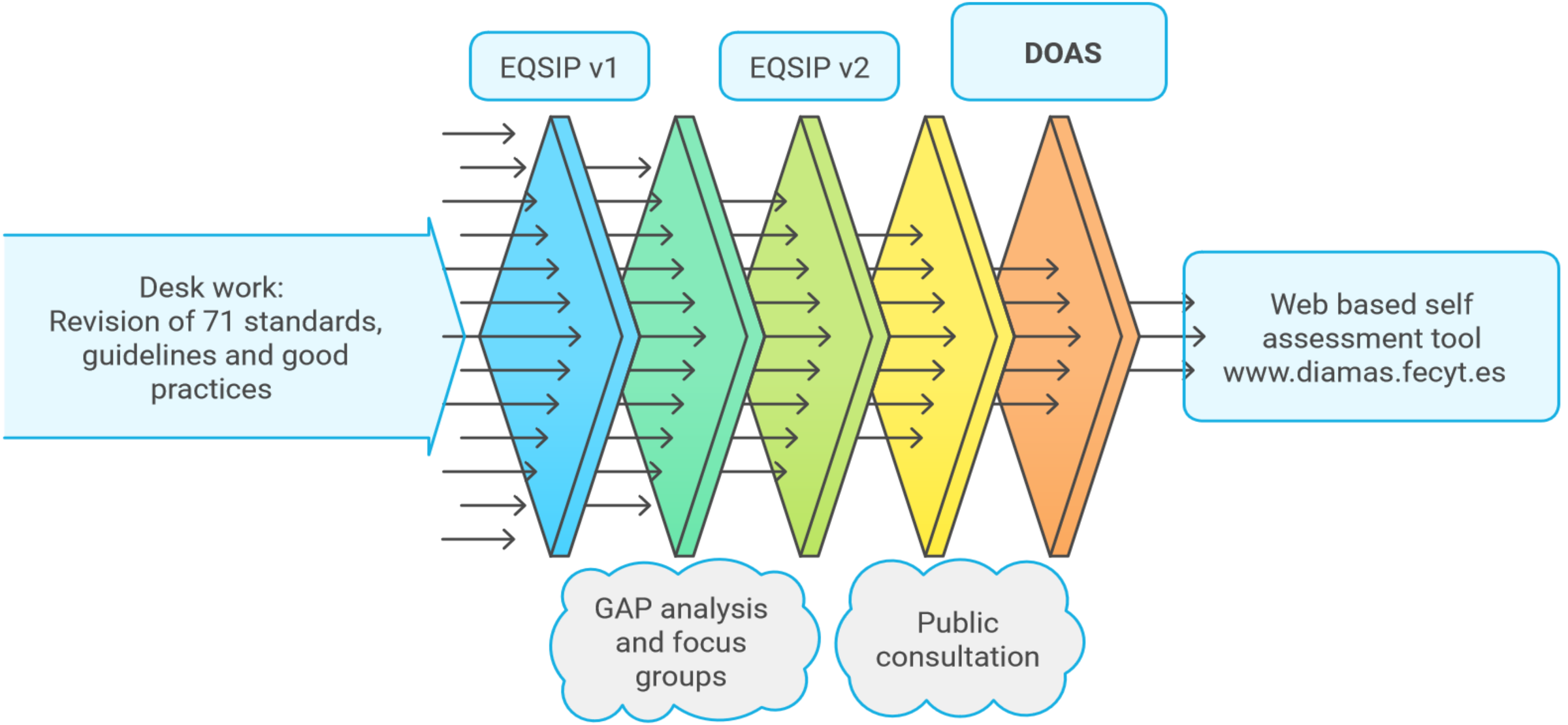
- Based on 7 core components outlined in the Action Plan for Diamond Open Access, revised and refined by the DIAMAS project team.
- Focused on institutional publishers (IPs) that publish scholarly journals.
- A comprehensive approach to maintain high quality standards in scholarly publishing.

DOAS
DIAMOND Open Access Standard

Seven core components

1. Funding
2. Legal ownership, mission, and governance
3. Open Science
4. Editorial management, editorial quality, and research integrity
5. Technical service efficiency
6. Visibility, communication, marketing, and impact
7. Equity, diversity, inclusion, and belonging (EDIB), multilingualism, and gender equity

DOAS methodology



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DOAS

deployment

Supported by a
Self-assessment Tool that
include Guidelines and
Toolsuite articles as additional
resources.

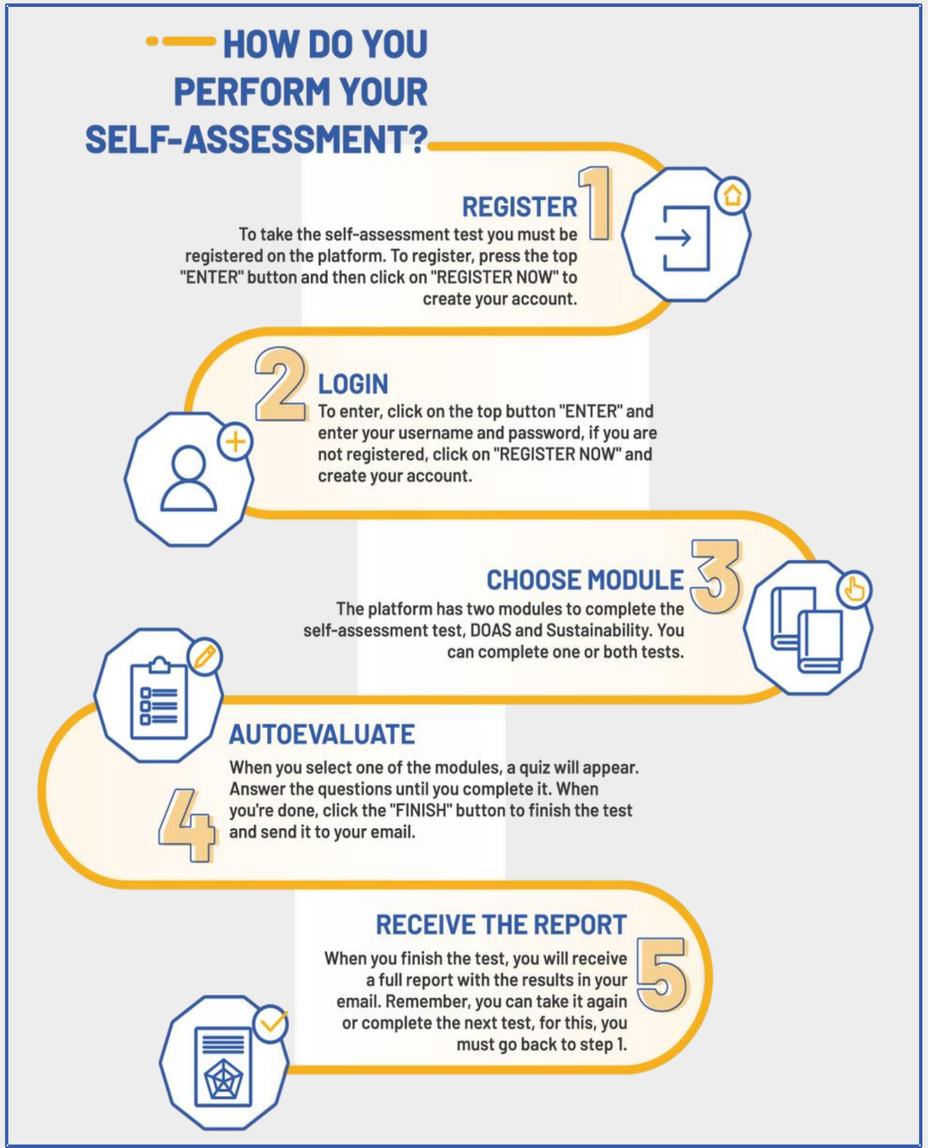
- Enable scholarly journals and publishers´ to ***self-evaluate*** their adherence to DOAS
- Identify ***strengths*** and weaknesses
- Provide ***graphic information*** and access to resources for improving compliance
- Provide ***additional resources***

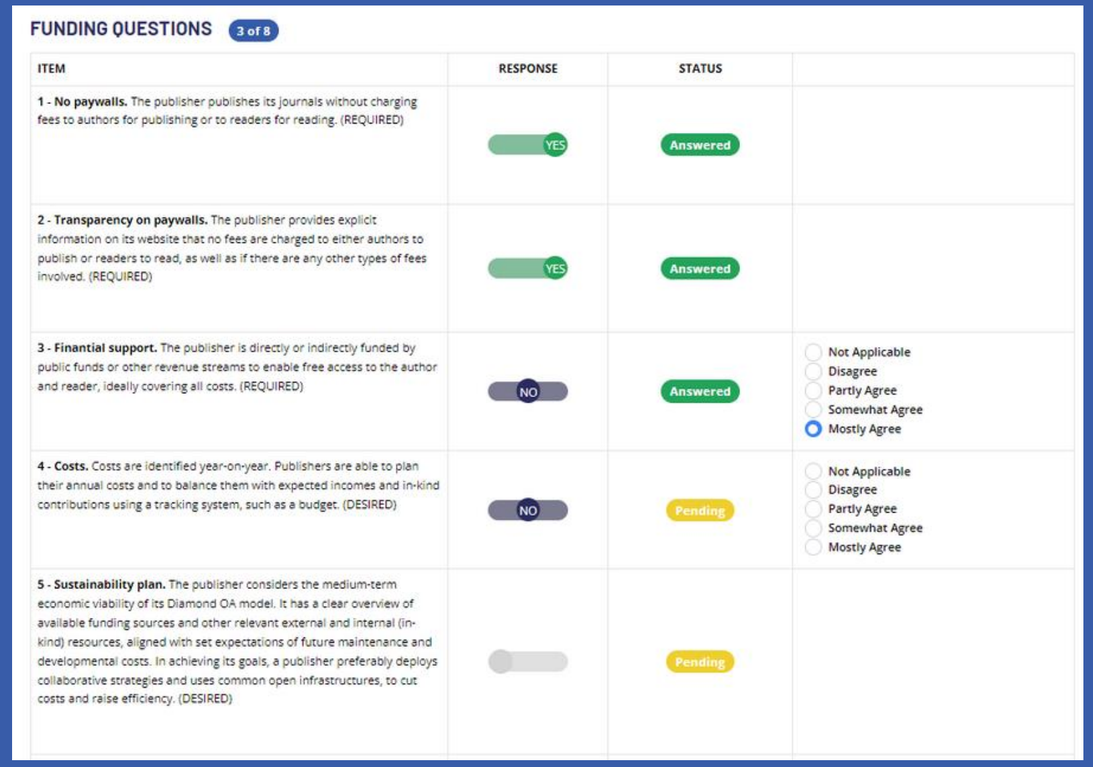
DOAS Self-assessment tool

DIAMOND Open Access Standard

https://diamas.fecyt.es

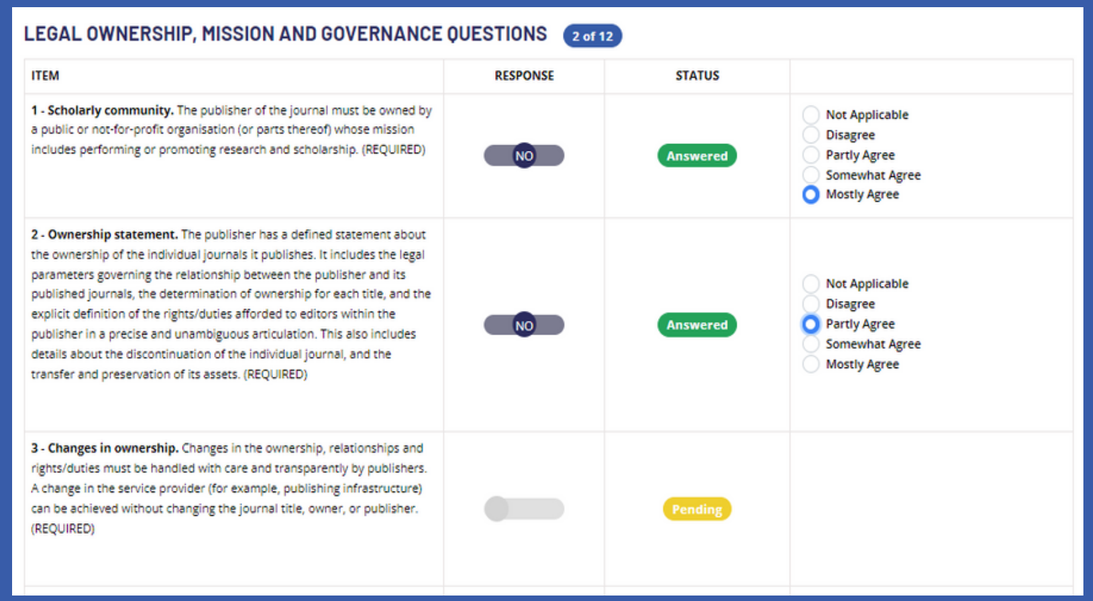
https://youtu.be/_RUwV_bcFAI





FUNDING QUESTIONS 3 of 8

ITEM	RESPONSE	STATUS	
1 - No paywalls. The publisher publishes its journals without charging fees to authors for publishing or to readers for reading. (REQUIRED)	YES	Answered	
2 - Transparency on paywalls. The publisher provides explicit information on its website that no fees are charged to either authors to publish or readers to read, as well as if there are any other types of fees involved. (REQUIRED)	YES	Answered	
3 - Finantial support. The publisher is directly or indirectly funded by public funds or other revenue streams to enable free access to the author and reader, ideally covering all costs. (REQUIRED)	NO	Answered	Not Applicable Disagree Partly Agree Somewhat Agree (•) Mostly Agree
4 - Costs. Costs are identified year-on-year. Publishers are able to plan their annual costs and to balance them with expected incomes and in-kind contributions using a tracking system, such as a budget. (DESIRED)	NO	Pending	Not Applicable Disagree Partly Agree Somewhat Agree Mostly Agree
5 - Sustainability plan. The publisher considers the medium-term economic viability of its Diamond OA model. It has a clear overview of available funding sources and other relevant external and internal (in-kind) resources, aligned with set expectations of future maintenance and developmental costs. In achieving its goals, a publisher preferably deploys collaborative strategies and uses common open infrastructures, to cut costs and raise efficiency. (DESIRED)		Pending	



LEGAL OWNERSHIP, MISSION AND GOVERNANCE QUESTIONS 2 of 12

ITEM	RESPONSE	STATUS	
1 - Scholarly community. The publisher of the journal must be owned by a public or not-for-profit organisation (or parts thereof) whose mission includes performing or promoting research and scholarship. (REQUIRED)	NO	Answered	Not Applicable Disagree Partly Agree Somewhat Agree (•) Mostly Agree
2 - Ownership statement. The publisher has a defined statement about the ownership of the individual journals it publishes. It includes the legal parameters governing the relationship between the publisher and its published journals, the determination of ownership for each title, and the explicit definition of the rights/duties afforded to editors within the publisher in a precise and unambiguous articulation. This also includes details about the discontinuation of the individual journal, and the transfer and preservation of its assets. (REQUIRED)	NO	Answered	Not Applicable Disagree (•) Partly Agree Somewhat Agree Mostly Agree
3 - Changes in ownership. Changes in the ownership, relationships and rights/duties must be handled with care and transparently by publishers. A change in the service provider (for example, publishing infrastructure) can be achieved without changing the journal title, owner, or publisher. (REQUIRED)		Pending	

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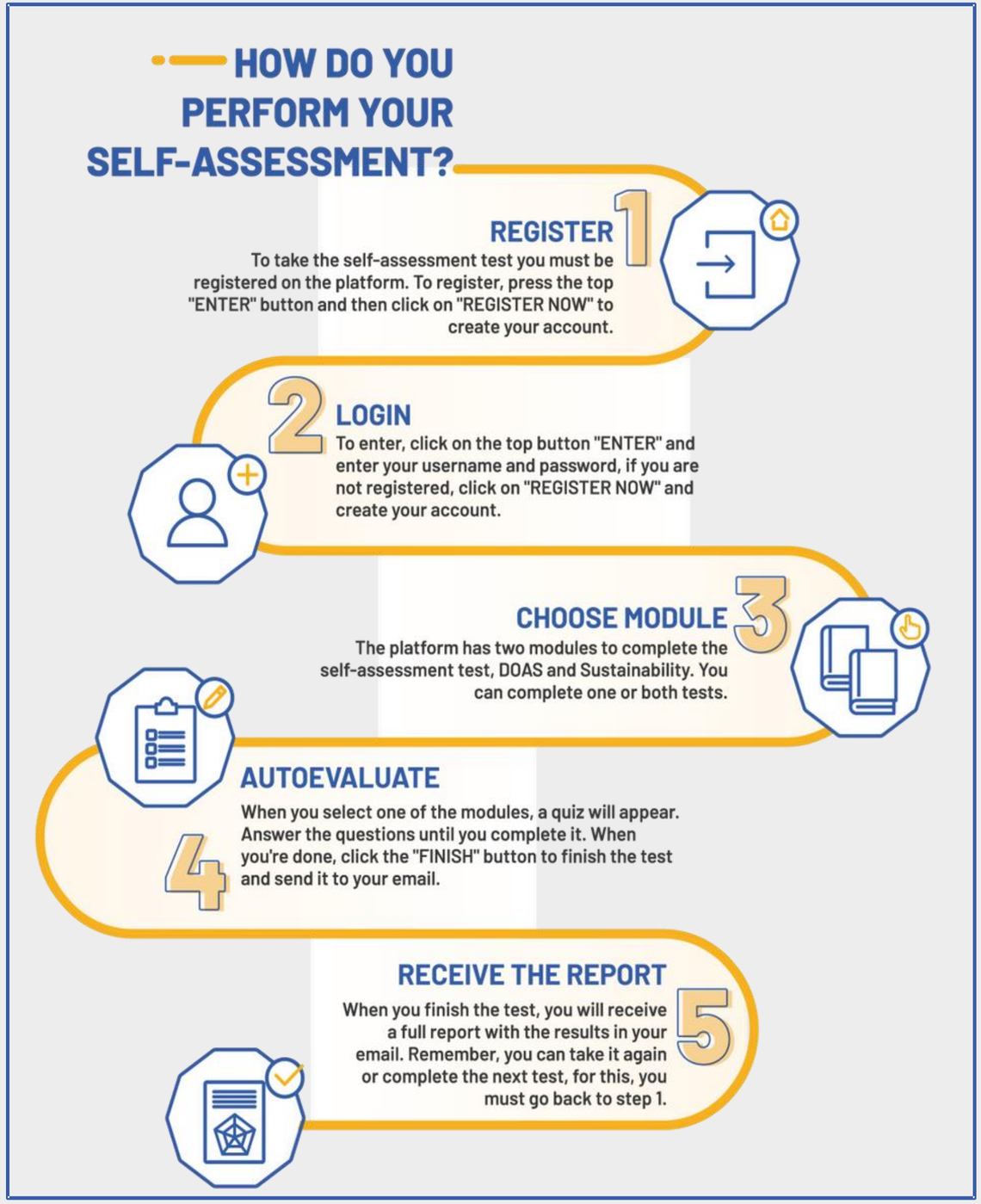
Self-assessment Tool for Diamond OA

Intuitive & efficient tool for scholarly publishers to manage and self-evaluate compliance with DOAS

https://diamas.fecyt.es

https://youtu.be/_RUwV_bcFAI



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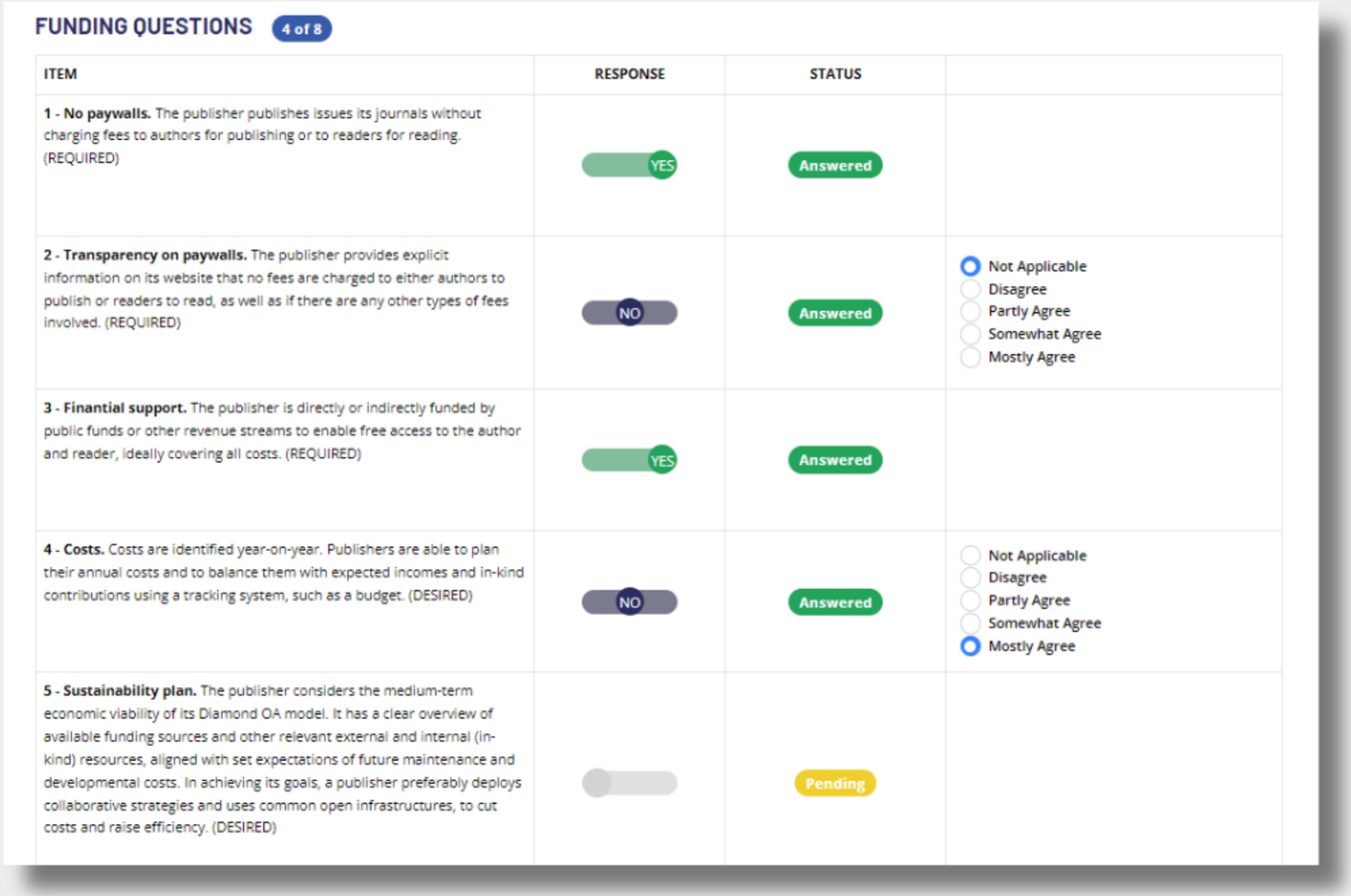
How does the Self-assessment Tool work?



FUNDING QUESTIONS 4 of 8

ITEM	RESPONSE	STATUS	
1 - No paywalls. The publisher publishes issues its journals without charging fees to authors for publishing or to readers for reading. (REQUIRED)	YES	Answered	
2 - Transparency on paywalls. The publisher provides explicit information on its website that no fees are charged to either authors to publish or readers to read, as well as if there are any other types of fees involved. (REQUIRED)	NO	Answered	◉ Not Applicable ○ Disagree ○ Partly Agree ○ Somewhat Agree ○ Mostly Agree
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4 - Costs. Costs are identified year-on-year. Publishers are able to plan their annual costs and to balance them with expected incomes and in-kind contributions using a tracking system, such as a budget. (DESIRED)	NO	Answered	○ Not Applicable ○ Disagree ○ Partly Agree ○ Somewhat Agree ◉ Mostly Agree
5 - Sustainability plan. The publisher considers the medium-term economic viability of its Diamond OA model. It has a clear overview of available funding sources and other relevant external and internal (in-kind) resources, aligned with set expectations of future maintenance and developmental costs. In achieving its goals, a publisher preferably deploys collaborative strategies and uses common open infrastructures, to cut costs and raise efficiency. (DESIRED)		Pending	

A four-step process:

1. Register on the online platform

2. Complete a structured questionnaire based on the 7 DOAS components

3. Receive a detailed report with scores and recommendations

4. Use additional resources to improve compliance with DOAS

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DOAS SELF-ASSESSMENT REPORT

This document acknowledges that FECYT has completed a self-assessment to check to what extent its publishing services are in line with the Diamond OA Standard (DOAS) . Your answers suggest that FECYT is already aligned with 72% of the required standards and desired advanced recommendations outlined in DOAS.

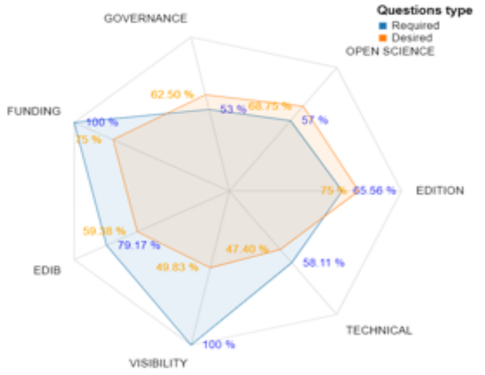
We thank you for your dedication to aligning with the best practices and standards formulated by DIAMAS. We hope that this self-assessment report will provide insights into the standards and practices of your publishing services and identify current good practices and potential improvements. We invite you to revisit the self-assessment tool at any time when you or your colleagues wish to reassess your publishing services.

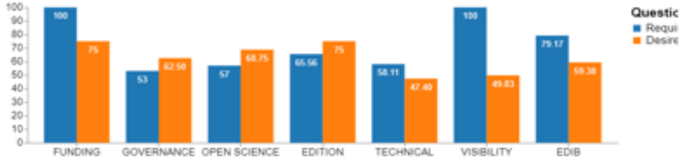
If you have any questions or feedback, please email us at diamas@fecyt.es

Disclaimer: This self-assessment report is not meant as an evaluation tool to rank publishing services. The tool therefore should not be taken to represent any level of compliance with DOAS, and it should not be taken to imply a ranking, or an implicit or explicit comparison with other publishing services. It is exclusively based on self-assessed information, it is exclusively available to you and based on the information that you have provided, and not based on any independently verified evidence.

23 September 2024

The DIAMAS Team





— INTRODUCTION TO DOAS

Tools and resources

This section contains a variety of tools and resources to support publishers who are considering moving towards Diamond OA publishing

- Toolsuite Articles
- Guidelines
- Self assessment tools
- Frequently asked questions (FAQ)
- Glossary
- Useful links

— Read more

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Aligning Diamond OA journals & publishers on quality

https://zenodo.org/records/12179619

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How to use DOAS

- **100 ITEMS**
  - **53 REQUIRED** items ensuring fundamental practices.
  - **47 DESIRED** items offering additional recommendations.
- Essential compliance and aspirational goals for Diamond OA scholarly publishers.

DOAS
DIAMOND Open Access Standard

- Serves as ***the Diamond OA Standard for scholarly publishers***
- Represents a ***measure of quality*** and a point of reference for comparison
- Helps to ensure ***quality and transparency*** in governance, processes, and workflows of journals´ publishing activities
- Outlines ***required and desired*** items for compliance
- Emphasises clarity and transparency, prioritising ***openness in information dissemination***

DOAS
DIAMOND Open Access Standard

Core component and Heading	Item	Level	
		REQUIRED	DESIRED
1. FUNDING			
1.1. Diamond OA business model	No paywalls	☑	
	Transparency on paywalls	☑	
1.2. Sustainability	Financial support	☑	
	Costs		☑
	Sustainability plan		☑
	Transparency on funding		☑
1.3. Editorial Independence	Editorial operations	☑	
	Revenue streams		☑

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Core component and Heading	Item	Level	
		REQUIRED	DESIRED
2. LEGAL OWNERSHIP, MISSION AND GOVERNANCE			
2.1. Ownership	Scholarly community	☑	
	Ownership statement	☑	
	Changes in ownership	☑	
	Transparency in ownership	☑	
2.2. Governance	Mission	☑	
	Scholarly community driven		☑
	Selection procedure	☑	
	Co-publishing	☑	
	Roles and responsibilities	☑	
	Transparency in editorial board selection		☑
2.3. Relations with Service Providers (SPs)	Agreement between publishers and SPs		☑
	Agreement between journal publishers and SPs		☑

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Core component and Heading	Item	Level	
		REQUIRED	DESIRED
3. OPEN SCIENCE			
3.1. Open policies	Open Science policy		☑
	Open Access	☑	
	Facilitating compliance with OA mandates	☑	
	Underlying research data	☑	
	Data policy content		☑
	Research protocols and methods		☑
	Open research software		☑
	Publication and sharing of negative scientific results		☑
3.2. Authors' Rights, Intellectual Property Rights, and Licensing	Rights retention policy	☑	
	Open Licence	☑	
	Third-party copyright		☑
	User's rights		☑
	Transparency on rights retention publication policy	☑	
3.3. Repositories	Deposits of published articles	☑	
	Acceptance of preprints		☑

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Core component and Heading	Item	Level	
		REQUIRED	DESIRED
4. EDITORIAL MANAGEMENT, EDITORIAL QUALITY AND RESEARCH INTEGRITY			
4.1. Editorial Bodies	Editorial independence	☑	
	Editorial bodies transparency	☑	
	Communication procedures between journals and publisher	☑	
	Skills/training		☑
	Engaging stakeholders		☑
4.2. Peer Review	Peer Review	☑	
	Peer-review policy and procedures	☑	
	Lack of endogeny	☑	
	Open peer review		☑
	Other contributors' copyright		☑
	Acknowledgement of reviewers		☑
	Incentives and rewards		☑
4.3. Editorial Quality	Guidelines for author(s)	☑	
	Guidelines for reviewers	☑	
	Manual of style	☑	
	Suitable layout	☑	
	Proofreading correction	☑	
	Languages of submission	☑	
	Publishing timelines	☑	
4.4. Research Integrity	Guidelines for authorship and/or contributorship		☑
	Research and publication ethics	☑	
	Conflict of interest	☑	
	Misconduct policy	☑	
	Guidelines for Artificial Intelligence		☑

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Core component and Heading	Item	Level	
		REQUIRED	DESIRED
5. TECHNICAL SERVICE EFFICIENCY			
5.1. Publishing Infrastructure	Use of platform	☑	
	Documentation		☑
	Security	☑	
	Basic functionalities	☑	
	Advanced functionalities		☑
	Basic infrastructure management	☑	
	Advanced infrastructure management		☑
	Long term preservation	☑	
5.2. Interoperability and Metadata	Interoperability protocols		☑
	Core metadata	☑	
	Complete metadata	☑	
	Persistent identifiers	☑	
	Registration of persistent identifiers	☑	
	Text and data mining		☑
	Formats		☑
	Citations	☑	
	Personal Data Protection		☑
5.3. Collaboration	Open source		☑
	Return to the community		☑

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Core component and Heading	Item	Level	
		REQUIRED	DESIRED
6. VISIBILITY, COMMUNICATION, MARKETING, AND IMPACT			
6.1. Presence	Visibility	☑	
	Discoverability	☑	
6.2. Communication	Communication channels		☑
	Community management		☑
	Marketing		☑
	Visual identity		☑
6.3. Analysis	Metrics		☑
	Analytical tools		☑

Core component and Heading	Item	Level	
		REQUIRED	DESIRED
7. EDIB, GENDER AND MULTILINGUALISM			
7.1. EDIB and Gender	EDIB policy at the publisher level		☑
	EDIB monitoring		☑
	Equity in submissions and decisions	☑	
	Bias-free language	☑	
	Research data sensitiveness	☑	
7.2. Accessibility	Accessible website	☑	
	Monitoring		☑
7.3. Multilingualism	Abstracts		☑
	Plain language summary		☑
	Full text	☑	
	Website and content	☑	
	Translation		☑
	Language technologies	☑	
	Metadata translation		☑

What's next?

https://www.unesco.org/en/articles/announcing-global-diamond-open-access-alliance
https://operas.hypotheses.org/7833

unesco

Event >

Announcing the Global Diamond Open Access Alliance

The event will introduce and officially announce the Global Diamond Open Access Alliance, highlighting its vision, mission, and objectives, and to engage stakeholders in a collaborative effort to promote Diamond Open Access.

10 July 2024

EVENT

Announcement of the Global Diamond Open Access Alliance
10 July 2024 - 3:00 pm - 10 July 2024 - 4:30 pm

Location: UNESCO Headquarters, Paris, France
Rooms : Room VI
Type : Cat VIII - Symposia
Arrangement type : Virtual
Language(s) : French,Spanish,English

Add to calendar

Public launch of the European Diamond Capacity Hub and the ALMASI Project

BY OPERAS EDITORIAL TEAM · 28/10/2024

DIAMAS → EUROPEAN DIAMOND Capacity Hub

DIAMOND OA Standard

Date: 14 January, 14:00 CET to 15 January, 18:00 CET

ALMASI
Aligning and Mutualizing
Nonprofit Open Access Publishing Services
Africa Europe Latin America

THANK YOU!

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