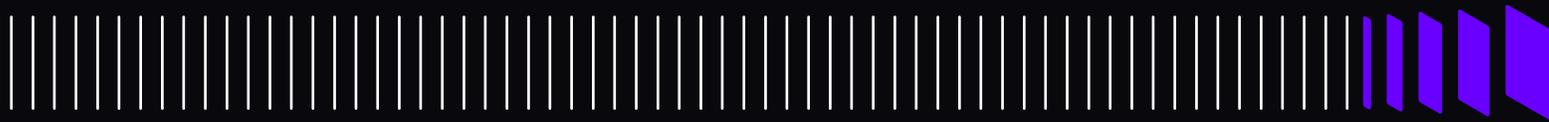# Open Science Policies at the University of Edinburgh
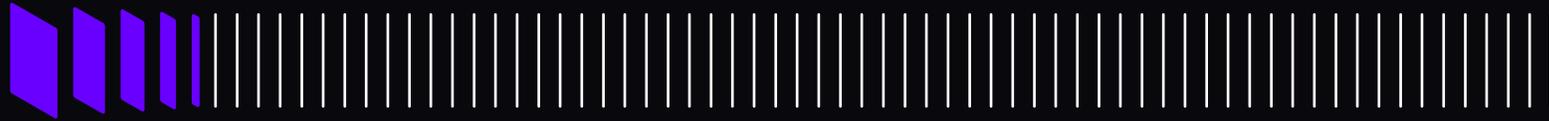
Munin Conference 2022

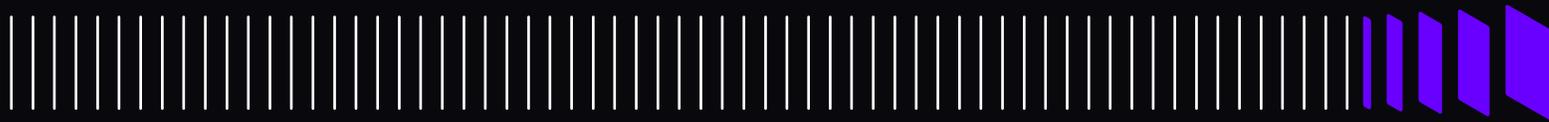**Dominic Tate**
Head of Library Research Support
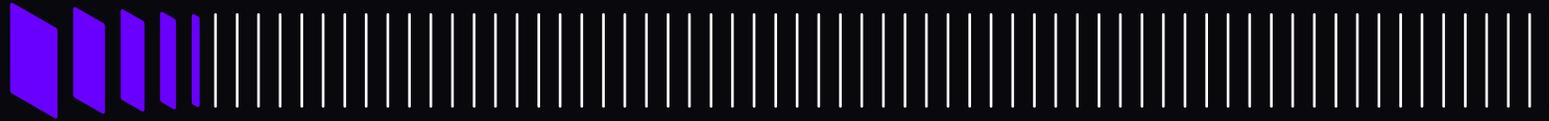
The Library helps people to grow their knowledge and create new connections through open services, collections and environments, sparking ideas that change the world.

The Library's Research Support Team enables the University's

transition to Open Science.

Open Research Roadmap

Roadmap for Open Science – University of Edinburgh Self-Assessment January 2022

The following table contains a self-assessment on the University of Edinburgh's readiness for Open Research, based on the 37 criteria set out in the LERU Open Science Roadmap. This self-assessment has been carried out by staff in the Library Research Support (LRS) Team. This is a working document and we would welcome the views on the accuracy of the self-assessment and the recommendations made.

	Topic	Question	Assessment of progress	Proposed next steps	RAG Status
Cultural change					
1.	**Leadership**	Has your university appointed a senior manager to lead Open Science approaches across all eight pillars of the Open Science debate identified by the European Commission?	Dominic Tate (Head of Library Research Support) has been acting in the role of LERU Open Science Ambassador (OSA) but Dr. William Cawthorn (Lecturer, Centre for Cardiovascular Science, CMVM) has now taken on this role. Dominic Tate will continue to lead on Open Research for the Library. A number of other senior researchers have taken very active roles in areas of Open Science, including Andrew Millar, Malcolm McLeod (via the UK Reproducibility Network) and Emily Sena. In addition, as part of the recent REF submission, various School and Centres have identified a senior academic with a remit for open science. Grassroots networks of Open Research champions have emerged, such as the Edinburgh Open Research Initiative (EORI: @edinburgh_open, https://edopenresearch.com/) and ReproducibiliTea sessions, organised by interested postgraduate groups.	Schools and Colleges should consider engaging Open Research Champions at a local level The Open Research Co-Ordinator will create a network of Open Research champions from across the University. These will primarily be senior academics who have a responsibility for Open Research in their School, and school or college Research Support Staff who have a direct role in promoting and supporting Open Research. This network will work together to ensure coordinated action in designing and implementing strategies for advancing the University's progress on the eight pillars. Dr. Cawthorn will Chair this network as part of his LERU Open Science Ambassador role. The Open Research Co-Ordinator will also identify any other researcher led Open Research work going on within the University. Once identified the Library will offer support to these groups as well as encouraging them to work	

Research Data Support

Edinburgh Diamond

Edinburgh Diamond: Book Hosting Service

Edinburgh Diamond, a service provided by Edinburgh University Library, uses Open Monograph Press (OMP) to support the publication of academic and student-led Open Access books.

Edinburgh Diamond: Journal Hosting Service

Edinburgh Diamond, a service provided by Edinburgh University Library, uses Open Journal Systems (OJS) to support the publication of academic and student-led Open Access journals.

Resources
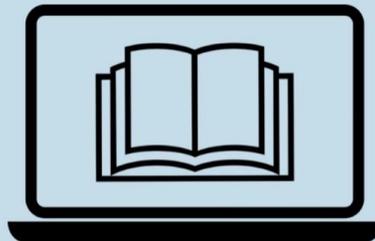
A collection of user guides, documentation and other publishing resources for users of Edinburgh Diamond, Open Journal Systems (OJS) and Open Monograph Press (OMP).

SCURL Shared Service & External Organisations

We offer a journal and book hosting service to SCURL partners and external organisations and institutions.

Journal Hosting Service

- 21 journals
- Mix of academic and student-led journals
- Free service provided to patrons
- Continually evolving
- Expected growth for this service

www.journals.ed.ac.uk

Airea: Arts and Interdisciplinary Research

Concept

Contemporary Challenges: The Global Crime, Justice and Security Journal

Edinburgh Architecture Research

Edinburgh Open Research

Finance and Society

FORUM: University of Edinburgh Postgraduate Journal of Culture & the Arts

HIMALAYA - The Journal of the Association for Nepal and Himalayan Studies
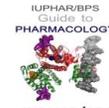
IUPHAR/BPS Guide to Pharmacology CITE

Journal of Lithic Studies

Language and Psychoanalysis

Leviathan

Lifespans and Styles

Medicine Anthropology Theory

MUSIC.OLOGY.ECA

Papers in Historical Phonology

re:think - a journal of creative ethnography

Scottish Studies

Social Science Protocols

The South Asianist Journal

Film-Philosophy Submissions

Transformative Agreements
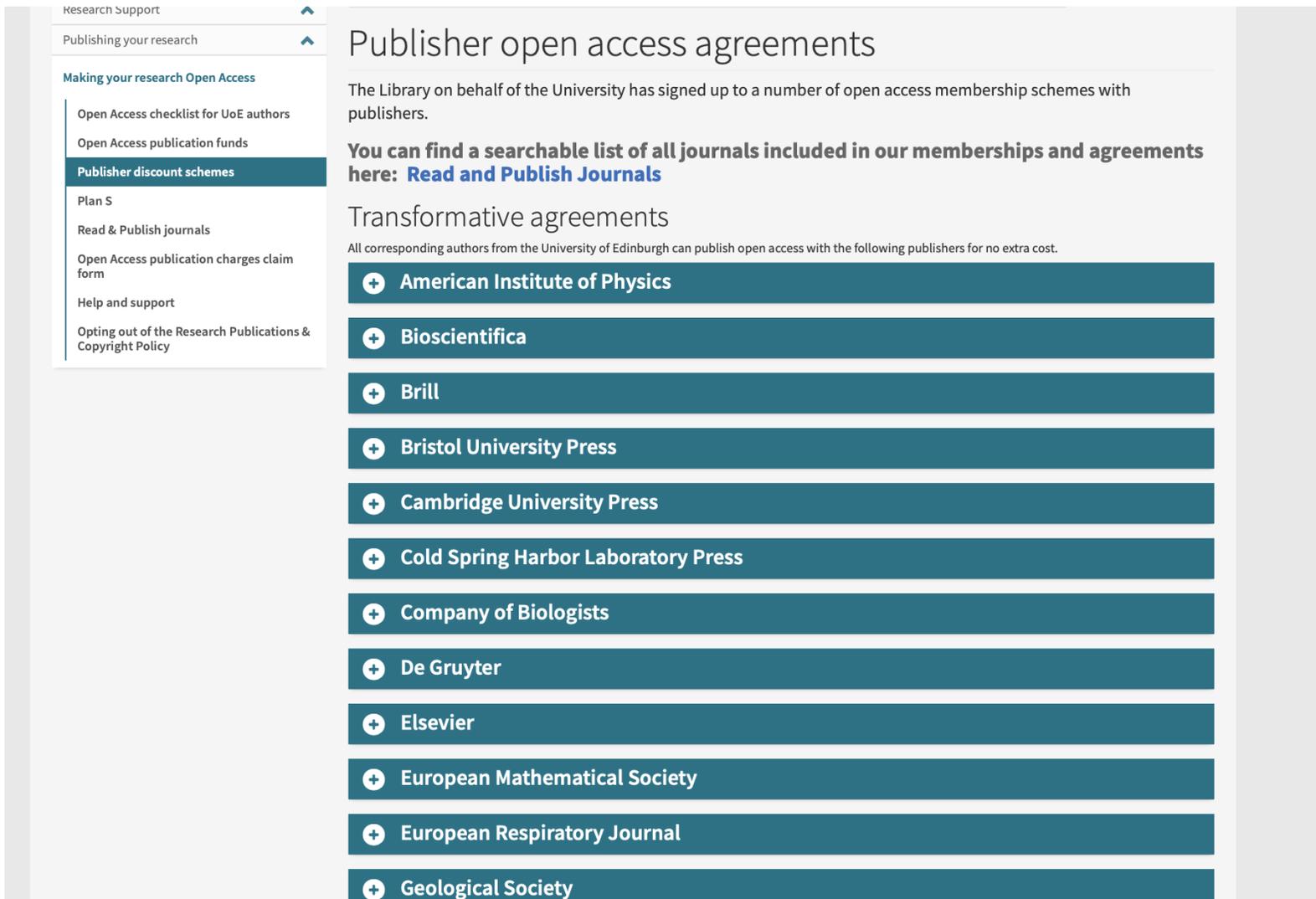
Research Support ⌃

Publishing your research ⌃

Making your research Open Access

Open Access checklist for UoE authors

Open Access publication funds

Publisher discount schemes

Plan S

Read & Publish journals

Open Access publication charges claim form

Help and support

Opting out of the Research Publications & Copyright Policy

Publisher open access agreements

The Library on behalf of the University has signed up to a number of open access membership schemes with publishers.
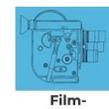
You can find a searchable list of all journals included in our memberships and agreements here: Read and Publish Journals

Transformative agreements

All corresponding authors from the University of Edinburgh can publish open access with the following publishers for no extra cost.

⊕ **American Institute of Physics**

⊕ **Bioscientifica**

⊕ **Brill**

⊕ **Bristol University Press**

⊕ **Cambridge University Press**

⊕ **Cold Spring Harbor Laboratory Press**

⊕ **Company of Biologists**

⊕ **De Gruyter**

⊕ **Elsevier**

⊕ **European Mathematical Society**

⊕ **European Respiratory Journal**

⊕ **Geological Society**

UK Open Access Policies

- University of Edinburgh Research Publications Policy

- Medical Charity policies (Wellcome Trust, Cancer Research UK etc.)

- UKRI (seven disciplinary funding councils)

- EU Policies (FP7, Horizon 2020, Horizon Europe)

- Research Excellence Framework Policy (2016)

University Open Access Policy

- Confirms members of staff own the copyright to their scholarly works.
- Upon acceptance of publication each staff member grants the University of Edinburgh a CC BY licence to their publication
- Applies to all scholarly articles, authored or co-authored while the person is a staff member
- Deposit the AM in a digital repository, with article metadata available immediately upon deposit and the AM being made accessible to the public on the date of first online publication
- Opt out in case of difficulties
- Standard address convention (citations)
- Strongly encourages use of ORCID unique identifiers

Research Publications & Copyright Policy (2021) — THE UNIVERSITY of EDINBURGH

Purpose of Policy
This will allow University of Edinburgh researchers to make their journal articles and conference proceedings available on an open access basis as required by research funders under Plan S https://www.coalition-s.org.

Overview
Immediate Open Access to research outputs, with rights retention, is the direction of travel major researcher funders are taking. On 1 January 2021 the Wellcome Trust introduced its new Open Access policy which requires its grantholders to immediately make their research outputs open access upon publication and to be openly licensed with a Creative Commons attribution licence (CC BY).

It is expected that the new UKRI open access policy, which is due to be announced shortly with a start date of 1 January 2022, will have similar elements of rights retention that allow their grantholders to make their research outputs freely available by the official final publication date.

Furthermore, the recently announced Horizon Europe programme, which is world's largest multinational research and innovation programme, will require immediate open-access publishing for all recipients of Horizon Europe research grants. Authors must also retain intellectual-property rights for their papers.

The original Research Publications Policy was originally developed and implemented in January 2010, and has been revised in 2021 to bring it in line with research council strategies, policies, and practices.

Scope: Mandatory Policy
This policy applies to all staff on research contracts.

Contact Officer | Dominic Tate | Head of Library Research Support | dominic.tate@ed.ac.uk

Document control

Dates	Approved: DD.MM.YY	Starts: 01.01.22	Equality impact assessment: 28.07.21	Amendments: DD.MM.YY	Next Review: 2022/2023
Approving authority	University Executive				
Consultation undertaken	Library Committee, College Research Committees, Research Policy Group, Knowledge Strategy Committee, Human Resources Policy Development Group, UCU Scotland.				
Section responsible for policy maintenance & review	Library Research Support, Library & University Collections, ISG				
Related policies, procedures, guidelines & regulations	N/A.				
UK Quality Code	UK Quality Code				
Policies superseded by this policy	Research Publications Policy (2010)				
Alternative format	If you require this document in an alternative format please email Academic.Services@ed.ac.uk or telephone 0131 651 4490.				
Keywords	Open access, publication, copyright				

Analysis of the First 9 Months

- The majority of our publications continue to be made OA via the Gold route or through transformative agreements with publishers. We no longer pay hybrid APCs.

- 27% of articles have been made OA via the Green route in our repository (958 articles)

- Rights Retention Statements only appear in 103 articles.

- We have only received three op-out requests.

- We have only dealt with one aggressive publisher (now pacified).

- Rights Retention has provided a useful safety-net, and has strengthened the University's position by giving authors more rights.

Next Steps…

- Enhanced advocacy and outreach activities: this work must be viewed as ongoing.

- Stronger encouragement to include Rights Retention Statements (although his is not strictly necessary).

- Review of Equality Impact Assessment.

- Enhance capacity for Edinburgh Diamond.

- Start to provide leadership for the wider Open Science agenda.

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Library