



Plan S

Making full & immediate
Open Access a reality

Trends in institutional Open Access publishing in Europe

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Overview

- ⦿ Introduction
- ⦿ Funder-based Open Access publishing
- ⦿ A variety of institutional Open Access platforms
- ⦿ The *Open Access Diamond Journals Study* (OADJS).
- ⦿ The WIDERA call
- ⦿ A possible project
- ⦿ Questions & discussion



Introduction

⦿ **Institutional publishing:**

Publishing activities that make available original scholarly outputs whose quality, editorial procedures, and content are controlled by members of the institution.

⦿ **Institution:**

A not-for-profit academic or scholarly organisation. (e.g. RPOs; RFOs; university libraries; most university presses; faculties; departments; research institutes, funders & foundations; scholarly societies)

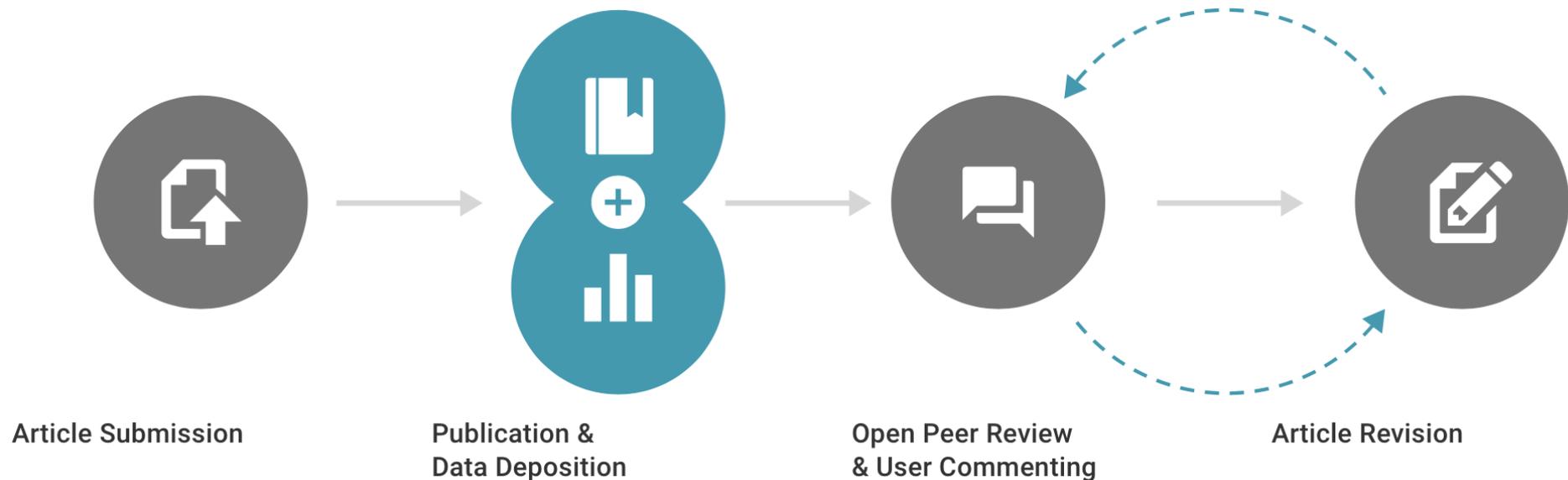
⦿ **Diamond Open Access:**

- No-fee OA publishing; free for authors and readers
- Rarely use per unit payments
- Often non-commercial, community-owned, and scholar-led



Funder-based Open Access publishing

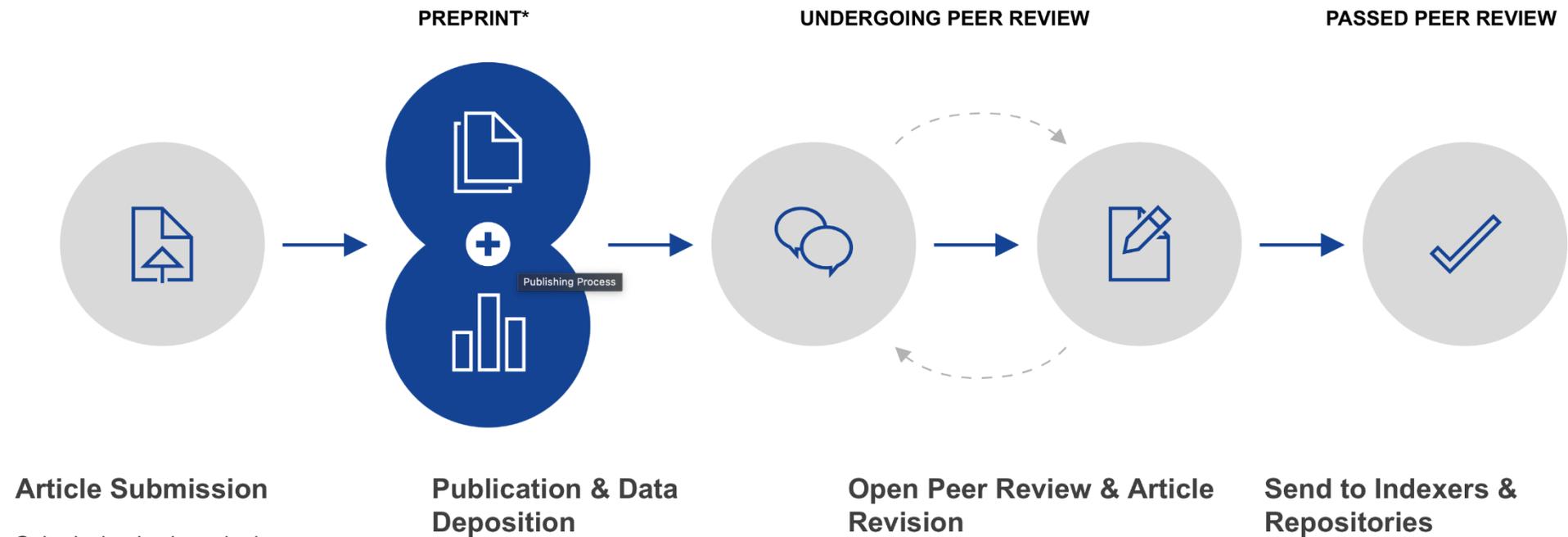
- Wellcome Open Research (WOR) & Gates Open Research (GOR):
 - “...controlled by Wellcome/ Bill and Melinda Gates Foundation using services provided by F1000Research.”
 - Open to all Wellcome and Gates grantees
 - single most used publishing venue for Wellcome funded researchers
 - Post-publication open peer review:



Funder-based Open Access publishing

- Open Research Europe (powered by F1000Research)

- publication of research funded by Horizon 2020 and Horizon Europe
- could be opened up to other European funders



A variety of institutional OA platforms

⦿ National initiatives:

- ⦿ HRČAK Portal (507 Croatian OA Journals)
- ⦿ OpenEdition (France, 567 journals)
- ⦿ *Calidad revistas científicas españolas* project (seal of quality)
- ⦿ Journal.fi (110 Finnish scholarly journals, Federation of Finnish Learned Societies)
- ⦿ Openjournals.nl (Dutch platform for OA journals, founded by KNAW, NWO and the OPUS Foundation.)
- ⦿ **Open Library of Humanities** (Birkbeck, University of London) consortial library model, 28 journals, new flipping program
- ⦿ **PsychOpen GOLD** (Leibniz Institute) Leibniz-psychology.org, 11 Diamond journals, open to 15 more.

The OA Diamond Journals Study

- 🕒 Plan S Principle 5: *“The Funders support the diversity of business models for Open Access journals and platforms.”*
- 🕒 March 2020: cOAlition S call for a study of collaborative non-commercial (aka “Diamond”) publishing journals and platforms, financially supported by Science Europe.
- 🕒 March 2021: Study on Diamond OA publishing by OPERAS-led consortium: findings, recommendations, and a dataset.
<https://zenodo.org/record/4558704#.YO6YwS0RoRw>





The OA Diamond Journals Study

Findings: landscape

Quantitative and qualitative analysis of a database of Diamond journals, a survey of 1619 journals, and focus group interviews.

- ⦿ An archipelago of about 29k journals (11.5k journals in DOAJ)
- ⦿ Mostly relatively small journals (15-50 articles/ year).
- ⦿ 60.6% in SSH, 17.1% in medicine, 22.2% in science
- ⦿ Publishing 44% of articles in fully OA journals, and 8-9% of total publishing volume (compare 10-11% for APC Gold)
- ⦿ Wide diversity of communities, often national journals & authors, but with an international audience.
- ⦿ Frequently strong in multilingualism



The OA Diamond Journals Study

Recommendations & next steps

Diamond publishing needs to be more efficiently organised, coordinated, and funded to realize the opportunities it represents.

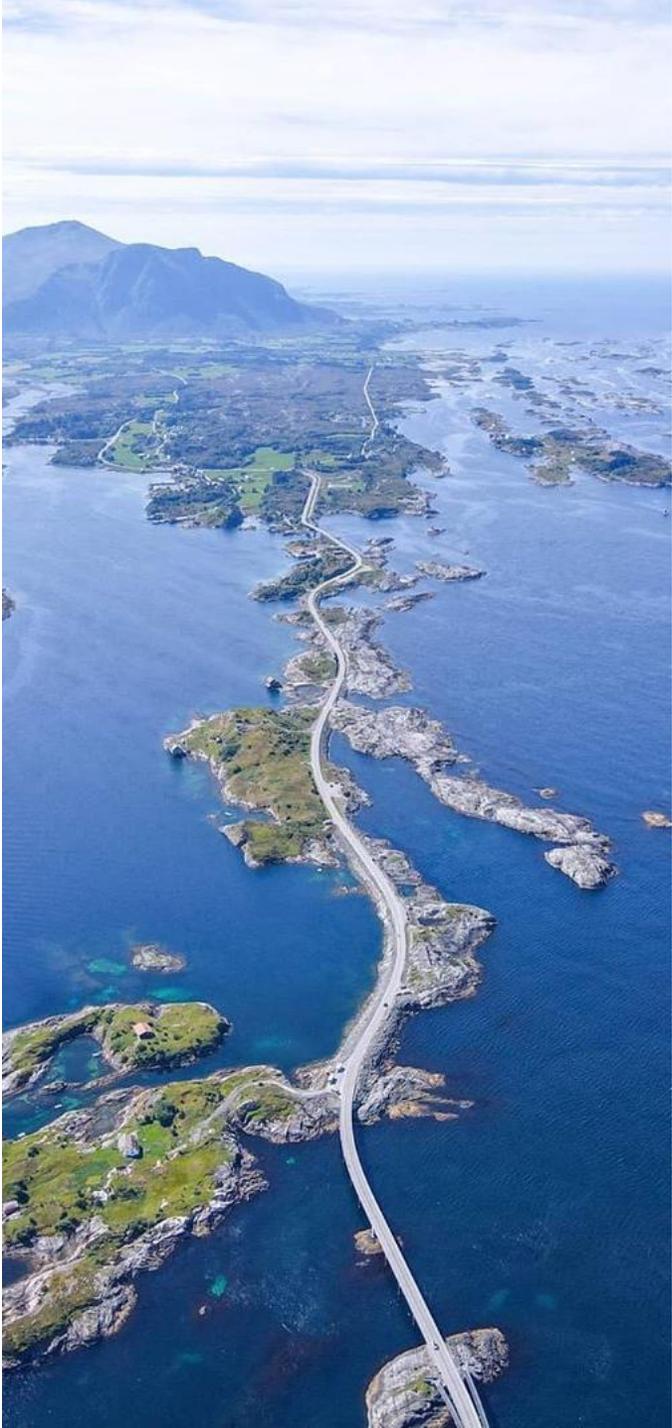
- ⊙ International Workshop and Symposium within 6 months
 - A workshop will be organized in February 2022 in collaboration with Science Europe and the French Ministry of Education.
- ⊙ A funding strategy within 12 months
 - An Expert Task Force has been assembled to work out a funding strategy for cOAlition S funders.
- ⊙ A Diamond Publishing Capacity Center within 24 months.
 - The HORIZON-WIDERA-2021-ERA-01-43: *Capacity-building for institutional open access publishing across Europe*.



The WIDERA capacity-building call

€3m to support non-technological aspects of institutional publishing and address its fragmentation

- ① 1. A comprehensive map of the current landscape of institutional publishing activities across Europe: service provision mechanisms, funding processes, and gaps;
- ② 2. Activities to improve the coordination, quality and services of institutional open access publishing, e.g. by minimum shared standards and good practices, high quality journal policies and procedures, and sustainable funding models.
- ③ 3. Explicit and actionable recommendations for strategies and policies to be adopted by research institutions to support their open access publishing activities in a coordinated way across Europe.



A possible project

Goal: a scholarly communication ecosystem that is

- ⦿ high-quality, sustainable, and aligned in its practices and standards,
- ⦿ academic-led and owned,
- ⦿ a recognized and visible alternative to commercial publishing
- ⦿ A **landscape analysis** of institutional OA publishing services and infrastructure across the ERA in terms of
 - ⦿ its diversity:
size, types of institutions, location, disciplines, and languages
 - ⦿ its operational practices:
management, ownership, user guidelines governance, DEI, OS...
- ⦿ Introduce and co-create a flexible **European quality standard** for institutional publishing to align current institutional publishing practices, while accommodating cultural, economic, historical and organisational diversity across different countries and communities.



A possible project

- 🎯 Enhance the capacities of institutional publishing providers via a **Common Access Point** with operational toolkits, standards, guidelines, translation services, training materials, and a community forum.
- 🎯 Engage with governments, RFOs, RPOs and policy-making bodies to provide them with recommendations to **improve and raise the profiles** of the institutional publishing providers they host or support.

Questions & Discussion



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