FAIR PRINCIPLES AND BEYOND: IMPLEMENTATION IN DATAVERSE

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European Dataverse Workshop 2020, Tromso, Norway

THIS TALK

FAIR Principles

- The importance of FAIR
- Implementation in Dataverse

Beyond FAIR:

- Responsible FAIR
- Data Quality
- Reproducibility

FAIR PRINCIPLES BECOME POPULAR

SCIENTIFIC DATA

Comment | Open Access | Published: 15 March 2016

The FAIR Guiding Principles for scientific data management and stewardship

Mark D. Wilkinson, Michel Dumontier, IJsbrand Jan Aalbersberg, Gabrielle Appleton, Myles Axton, Arie Baak, Niklas Blomberg, Jan-Willem Boiten, Luiz Bonino da Silva Santos, Philip E. Bourne, Jildau Bouwman, <u>Anthony J. Brookes</u>, Tim Clark, Mercè Crosas, Ingrid Dillo, Olivier Dumon, Scott Edmunds, Chris T. Evelo, Richard Finkers, Alejandra Gonzalez-Beltran, Alasdair J.G. Gray, Paul Groth, Carole Goble, Jeffrey S. Grethe, Jaap Heringa, Peter A.C 't Hoen, Rob Hooft, Tobias Kuhn, Ruben Kok, Joost Kok, Scott J. Lusher, Maryann E. Martone, Albert Mons, Abel L. Packer, Bengt Persson, Philippe Rocca-Serra, Marco Roos, Rene van Schaik, Susanna-Assunta Sansone, Erik Schultes, Thierry Sengstag, Ted Slater, George Strawn, Morris A. Swertz, Mark Thompson, Johan van der Lei, Erik van Mulligen, Jan Velterop, Andra Waagmeester, Peter Wittenburg, Katherine Wolstencroft, Jun Zhao & Barend Mons ⊠ – Show fewer authors

- Published in 2016
- 54 authors
- About 1000 citations
- 85K accesses
- Altmetric: Ranked 64th of 265K articles of same age

FAIR EVERYWHERE

European Commission Data Policy:

"The OBJECTIVES for adopting and implementing the JRC (Joint Research Centre) Data Policy include: ... Facilitate management, broaden access and use of the JRC data, in line with FAIR Data principles (Findable, Accessible, Interoperable, Reusable);" https://ec.europa.eu/jrc/en/publication/jrc-data-policy

FAIR EVERYWHERE

National Health Institutes (NIH) proposed DRAFT Data Policy:

"NIH encourages data management and data sharing practices consistent with the NIH Plan for Increasing Access to Scientific Publications and Digital Scientific Data from NIH Funded Scientific Research and the FAIR (Findable, Accessible, Interoperable, and Reusable) data principles." https://osp.od.nih.gov/wp-

content/uploads/Draft_NIH_Policy_Data_Management_and_Sharing.pdf

FAIR EVERYWHERE

Coalition for Publishing Data in Earth and Space Science (CODPESS) Commitment Statement:

"Ensuring that Earth, space, and environmental science research outputs, including data, software, and samples or standard information about them, are open, FAIR, and curated in trusted domain repositories whenever possible ..." http://www.copdess.org/enabling-fair-data-project/commitment-toenabling-fair-data-in-the-earth-space-and-environmental-sciences/ But, what does it mean?

MACHINE-ACTIONABILITY

" The FAIR Principles put specific emphasis on enhancing the ability of machines to automatically find and use the data, in addition to supporting its reuse by individuals. "

Wilkinson et al. 2016. Nature-Springer Scientific Data. The FAIR Guiding Principles for Scientific Data Management and Stewardship. But, what does it really mean?

Step by step implementation in Dataverse

DATAVERSE REPOSITORIES





TO BE FINDABLE

"Digital resources should be easy to find for both humans and computers. Extensive machine-actionable metadata are essential for automatic discovery of relevant datasets and services, and are therefore an essential component of the FAIRification process."

Jacobsen et al. 2016. FAIR Principles: Interpretations and Implementation Considerations (Forthcoming)

TO BE FINDABLE

PRINCIPLE F1

(meta)data* are assigned a

globally unique and

persistent identifier

*(meta)data refers to data

and metadata

- Support for:
 DataCite DOIs; or Handles
 from Handle.net
- Always at the dataset level
- Optionally at the file level



Version 3.0

REPLICATION DATA FOR: Bootstrap Methods for Inference in the Parks Model



compliant with Joint Declaration of Data Citation Principles in addition to F1

TO BE FINDABLE

PRINCIPLE F2

data are described with rich metadata

- Metadata standards in human- and machinereadable formats: Dublin Core; Documentation Data Initiative (DDI); DataCite; Schema.org
- Standard metadata for data citation, collection, design, and analysis, variables (DDI)
- Optional custom metadata

HARVARD Dataverse	Add Data - Search - About User Guide Support Sign Up Log In	
Files Metadata Terms	Versions	
Citation Metadata	Rich support for Metadata Standards in human- and machine- readable formats.	
Dataset Persistent ID 🕢	doi:10.7910/DVN/94EU5T	
Publication Date 🕢	2017-10-26 JSON	
Title 🕄	REPLICATION DATA FOR: Bootstrap Methods for Inference in the Parks Model OAI_ORE	
Author 🕢	Moundigbaye, Mantobaye (University of Canterbury)OpenAIREMessemer, Clarisse (Bonneville Power Administration)Schema.org JSON-LDParks, Richard W. (University of Washington)Reed, W. Robert (University of Canterbury) - ORCID: 0000-0002-6459-8174)N-LD
Contact 🕢	Use email button above to contact.	
	Reed, W. Robert (University of Canterbury)	
Description 🕢	This dataset contains all the materials needed to reproduce the results in "Bootstrap Methods for Inference in the Parks Model". Please read the README document first. The results were obtained using SAS/IML software, and the files consist of SAS data sets and SAS programs. (2019-06-06)	
Subject 🕄	Business and Management; Social Sciences	

TO BE FINDABLE

PRINCIPLE F3

metadata clearly and

explicitly include the

identifier of the data it

describes

- ID is in the metadata tab of the Dataset landing page
- ID is in the metadata tab of the File landing page
- ID is included in exported metadata files

This XML file does not appear to have any style information associated with it. The document tree is shown below.

```
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 xsi:schemaLocation="http://datacite.org/schema/kernel-4 http://schema.datacite.org/meta/kernel-4/metadata.xsd">
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     <affiliation>(University of Canterbury)</affiliation>
    </creator>
   ▼<creator>
                                                                                           Dataset and Files
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   ▼<creator>
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     <affiliation>(University of Canterbury)</affiliation>
    </creator>
  </creators>
 ▼<titles>
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     REPLICATION DATA FOR: Bootstrap Methods for Inference in the Parks Model
    </title>
  </titles>
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  <publicationYear>2017</publicationYear>
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    <relatedIdentifier relatedIdentifierType="DOI" relationType="HasPart">doi:10.7910/DVN/94EU5T/JCKHH3</relatedIdentifier>
```

TO BE FINDABLE

PRINCIPLE F4

(meta)data are registered or

indexed in a searchable

resource

- DataCite metadata is registered and indexed by DataCite Search
- Schema.org metadata is indexed by Google Dataset Search

Google Dataset Search

▼ Updated Date) (▼

Download Format

▼ Usage Rights) (Free



Replication Data for: Using a Natural Experiment to Estimate...

dataverse.harvard.edu

Updated Sep 26, 2018



Data from: The UN Security Council Debates

dataverse.harvard.edu search.datacite.org

Updated Jun 27, 2019



Data from: An optimistic outlook creates a rosy past: The impact... dataverse.harvard.edu

Updated Dec 18, 2017

Data from: The UN Security Council Debates

C Related Article

Explore at Harvard Dataverse

!

Explore at search.datacite.org

2 scholarly articles cite this dataset (View in Google Scholar)

Unique identifier

https://doi.org/10.7910/DVN/KGVSYH

Dataset updated Jun 27, 2019

Dataset provided by

Harvard Dataverse

License

CC0 1.0 Universal Public Domain Dedication License information was derived automatically

TO BE ACCESSIBLE

"Protocols for retrieving digital resources should be made explicit, for both humans and machines, including well-defined mechanisms to obtain authorization for access to protected data."

Jacobsen et al. 2016. FAIR Principles: Interpretations and Implementation Considerations (Forthcoming)

TO BE ACCESSIBLE

PRINCIPLE A1

```
(meta)data are retrievable
by their identifier using a
standardized communications
protocol
Sub-Principle A1.1: the protocol
is open, free and universally
implementable
Sub-Principle A1.2: the protocol
allows for an authentication and
authorization procedure, where
```

necessary

- Support for HTTP (W3C), Rsync over ssh (GNU General Public license)
- RESTful API (e.g., access through cURL)
- Authentication API Tokens
- Authorization service

Getting Started with APIs

If you are a researcher or curator who wants to automate parts of your workflow, this section should help you get started. The Introduction section lists resources for other groups who may be interested in Dataverse APIs such as developers of integrations and support teams.

Contents:

- Servers You Can Test With
- Getting an API Token
- curl Examples and Environment Variables
- Depositing Data
 - Creating a Dataverse
 - Creating a Dataset
 - Uploading Files
 - Publishing a Dataverse
 - Publishing a Dataset
- Finding and Downloading Data
 - Finding Datasets
 - Finding Recently Published Dataverses, Datasets, and Files
 - Downloading Files
 - Downloading Metadata
 - Listing the Contents of a Dataverse
- Managing Permissions
 - Granting Permission
 - Revoking Permission
 - Listing Permissions (Role Assignments)
- Beyond "Getting Started" Tasks
- Getting Help

Tokens and APIs described in Dataverse.org

Search

User Guide

Admin Guide

API Guide

Introduction

Getting Started with APIs

API Tokens and Authentication

Search API

Data Access API

Native API

Metrics API

SWORD API

Client Libraries

Building External Tools

Apps

Frequently Asked Questions

Installation Guide

Developer Guide

Style Guide

TO BE ACCESSIBLE

PRINCIPLE A2

metadata are accessible, even when the data are no longer available

- A deaccessioned dataset (data not available) is still findable and citable
- Metadata includes why the data are not available



TO BE INTEROBERABLE

"When two or more digital resources are related to the same topic or entity, it should be possible for machines to merge the information into a richer, unified view of that entity. Similarly, when a digital entity is capable of being processed by an online service, a machine should be capable of automatically detecting this compliance and facilitating the interaction between the data and that tool."

Jacobsen et al. 2016. FAIR Principles: Interpretations and Implementation Considerations (Forthcoming)

TO BE INTEROPERABLE

PRINCIPLE I1

(meta)data use a formal, accessible, shared, and broadly applicable language for knowledge representation

- Linked data support with JSON-LD for Schema.org
- DDI (XML) as a rich schema to support extensive variable metadata

Dataverse	RD				Add [Data - Search -	About	User Guide	Support 💄 I	Merce Crosas 🗿 🗸
ClimateR	legre	ssionD	ata_1503	327.tab						
le Citation								F	ile Metrics 🕢	
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Español França

Replication Data for: "Climate Amenities, Climate Change, and American Quality of Life" Journal of the Association of Environmental and Resource Economists 3, no. 1 (March 2016): 205-246.

ClimateRegressionData_150327.tab

Albouy, David, Graf, Walter, Kellogg, Ryan, and Wolff, Hendrik, 2018, "Replication Data for: "Climate Amenities, Climate Change, and American Quality of Life" Journal of the Association of Environmental and Resource Economists 3, no. 1 (March 2016): 205-246.", https://doi.org/10.7910/DVN/QCE1XY, Harvard Dataverse, V1, UNF:6:CBIOoHJrG5/T6i+XjwBVwg== [fileUNF]

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			Values Categories N +
■ 18476854	msaname		Summary Statistics
18476752	Wage_orig	Resiential-PUMA Wage Differential	Cases N
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=	Price	Housing-cost differential	Maximum 1.408539533615 123
18476802			Minimum -0.7985830307006836
18477561	QOL_orig	QOL based on residential wage, no commuting	-0.0050949960725188625 -0.06162228807806969
18477368	QOL_25_1		0.35/3808803276065
18477175	QOL_GM		Variable msaname:
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First « 1	2 3 4 5	Last Records Per Page 10	Albany 11

TO BE INTEROPERABLE

PRINCIPLE 12

(meta)data use vocabularies that follow FAIR principles

- FAIR controlled vocabularies and data models used in well-curated datasets
- Metadata template can help
- But, controlled vocabularies and ontologies **not** supported by default

VE RI	HARVARD Dataverse		Add Data 👻	Search -	About	User Guide	Support	Sign Up	Log In
	Subject 🕑	Social Sciences							
	Topic Classification (?)	mra 50 or fewer (Samps male (Gender) http: 18-22 (Age) http://v White (Race) http:// student (SES) http:// 1 (Generations) http Special aspects of Mental health (Heal	size) http://www.i ://www.murray.harv /www.murray.har //www.murray.har //www.murray.ha o://www.murray.ha o://www.murray.ha o://www.murray.ha lth) http://authori	murray.harvard arvard.edu/voca vard.edu/voca vard.edu/voca urvard.edu/voc narvard.edu/voc narvard.edu/voc ties.loc.gov/	I.edu/voo cabulary oulary obulary abulary ocabulary ocabulary	cabulary / .loc.gov/			
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	Kind of Data 📀	field study			t	ne Library of	Congress	S	



TO BE INTEROPERABLE

PRINCIPLE I3

(meta)data include qualified
references to other
(meta)data

- DDI schema supports references to other data
- Not yet supported: related objects in exported DataCite metadata (coming soon)



Contact 🖸 Share

Australian National Political Attitudes, 1967: Supplemented with Treiman Prestige Scores (M023V1) Version 2.0

Donald Treiman, 2012, "Australian National Political Attitudes, 1967: Supplemented with Tre	eiman Prestige Scores (M023V1)",	Dataset Metrics 😯
https://doi.org/10.7910/DVN/DTNDDL, Harvard Dataverse, V2		2 Downloads 📀
i≣ Cite Dataset ▼	Learn about Data Citation Standards.	





McDonnell, Patrick, Leonard Blom, F. Lancaster Jones, and Paul Duncan-Jones, "Notes on the Australian Occupational Classification," Australian National University, Paper preared fro annual meeting of the Sociological Association of Australia and New Zealand, August 1976. Australia, Bureau of Census and Statistics, "Classification and Classified List of Occupations" (revised June 1961), Government Printer, Canberra, 1961. Australia, Bureau of census and Statistics, "Index of Occupations" (revised June 1961), Government Printer, Canberra, 1961. Broom, Leonard, F. Lancaster Jones and Jerzy Zubrzycki, "A Occupational Classification of the Australian Workforce," THE AUSTRALIAN AND NEW ZEALAND JOURNAL OF SOCIOLOGY Vol. 1, No. 2 (October, 1965), p.1-2.

Aitkin, Donald, Michael Kahan, and Donald E. Stokes. AUSTRALIAN NATIONAL POLITICAL ATTITUDES, 1967. Conducted by Donald Aitkin and Michael Kahan, Australian National University, and Donald E. Stokes, University of Michigan. ICPSR ed. Ann Arbor, MI: Inter-university Consortium for Political and Social Research [producer and distributor], 1975. doi:10.3886/ICPSR07282.v1; Aitkin, Donald, Michael Kahan, and Donald E. Stokes. Australian National Political Attitudes, 1969. ICPSR07393-v1. Ann Arbor, MI: Inter-university Consortium for Political and Social Research [distributor], 2001. doi:10.3886/ICPSR07393.v1

Reference in metadata to related datasets or other research objects

TO BE REUSABLE

"Digital resources are sufficiently well described for both humans and computers, such that a machine is capable of deciding: if a digital resource should be reused; if a digital resource can be reused, and under what conditions; and who to credit if it is reused."

Jacobsen et al. 2016. FAIR Principles: Interpretations and Implementation Considerations (Forthcoming)

TO BE REUSABLE

PRINCIPLE R1

(meta)data are richly described with a plurality of accurate and relevant attributes

Sub-Principle R1.1:

(meta)data are released with a clear and accessible data usage license

DATAVERSE IMPLEMENTATION

Included in Metadata:

- Data use license/waiver
- Data access and use terms



Replication Data for: Bean Counters: The Effect of Soy Tariffs on Change in Republican Vote Share

	between	the	2016	and	2018	Elections
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Subject 🕄	Social Sciences	ult waiver for				
Keyword 🕄	trade wars, tariffs, China, vote share, agriculture		n data			
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Terms of Use						

Our Community Norms as well as good scientific practices expect that proper credit is given via citation. Please use the data citation above, generated by the Dataverse.

CC0 - "Public Domain Dedication"



TO BE REUSABLE

PRINCIPLE R1

Sub-Principle R1.2:

(meta)data are associated with detailed provenance

- Full data citation metadata with credit to data authors, providers, distributors
- Versions with changes documented automatically
- W3C PROV support



Files Metadata Terms

Versions

View Differences

Dataset	Summary	Contributors	Published
8.0	Files (Added: 2; Removed: 2); View Details	Sergio Petralia	Jan 19, 2019
7.2	Terms of Use/Access Changed View Details	Sergio Petralia	Dec 19, 2017
7.1	Citation Metadata: Author (3 Changed); Contact (1 Changed); Additional Citation Metadata: (5 Changed); View Details	Sergio Petralia	Oct 4, 2017
7.0	Files (Added: 1; Removed: 1; Replaced: 1); View Details	Sergio Petralia	Sep 12, 2017
6.1	Citation Metadata: Notes (Changed); View Details	Sergio Petralia	Jan 10, 2017
6.0	Files (Added: 2; Removed: 2); View Details	Sergio Petralia	Jan 10, 2017
5.0	Files (Added: 1; Removed: 1); View Details	Sergio Petralia	Oct 3, 2016
4.0	Citation Metadata: Description (1 Changed); Additional Citation Metadata: (1 Added, 8 Changed); Files (Added: 2; Removed: 2; Changed File Metadata: 1); View Details	Sergio Petralia	Sep 29, 2016
3.3	Additional Citation Metadata: (5 Changed); View Details	Sergio Petralia	Sep 7, 2016
3.2	Additional Citation Metadata: (2 Added); View Details	Sergio Petralia	Sep 7, 2016
3.1	Additional Citation Metadata: (5 Added); View Details	Sergio Petralia	Sep 7, 2016
3.0	Files (Added: 1; Removed: 1); View Details	Sergio Petralia	Sep 7, 2016
2.1	Citation Metadata: Notes (Changed); View Details	Sergio Petralia	Sep 1, 2016
2.0	Files (Added: 1); View Details	Sergio Petralia	Sep 1, 2016
1.1	Additional Citation Metadata: (2 Added); View Details	Sergio Petralia	Sep 1, 2016
1.0	This is the first published version.	Sergio Petralia	Aug 26, 2016

TO BE REUSABLE

PRINCIPLE R1

Sub-Principle R1.3:

(meta)data meet domainrelevant community standards

- DDI for social science data
- FITS for astronomy data
- Metadata blocks for other community standards
- File format conversion to reusable formats (tabular)





FM [AID*]	Question	Dataverse Q'aire	Dataverse Optimized
Identifier type	1	DOI	DOI
F1A	2		
F1B	Not tested in Q'aire		
F2A	4A		
F2A	4B		
F3	5B		
F4	6A		
F4	6B		
A1.1	7A		
A1.2	8A		
A1.2	8B	N/A	N/A
A2	9		
11	10		
12	11		
13	12		
R1.1	13		
R1.2	14A		

DATAVERSE FAIR SUMMARY

- Strong support for Findable, Accessible, and Reusable principles
- Weak for Interoperable principles
- In agreement* with FAIR test results (*F3 was fixed after test)
- There is no FAIR "compliance"
- Instead, it's a process and can always be improved

Beyond FAIR

BEYOND FAIR

FAIR principles do not address:

- Responsible FAIR for sensitive data
- Data curation for data quality
- Capsules for reproducibility

Responsible FAIR: Current Efforts

TRUSTED REMOTE STORAGES



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Dataverse Trusted Remote Storage Agent Update

🕓 March 10, 2019 🛛 👗 Jon Crabtree

Work on the Trusted Remote Storage Agent (TRSA) for Dataverse is progressing and we now have a working prototype to fulfill the Minimum Viable Products (MVP) goals of the ImPACT project. The TRSA MVP is designed to interface with our ImPACT Dataverse and will provide the metadata needed for discovery of the remote content. The overall conceptual design is shown in Figure 1 below.



- Trusted Remote Storage Agents
- Notary Service for auth/authz
- Dataverse stores only metadata
- IMPACT project funded by NSF

http://cyberimpact.us/

STANDARD DATA SHARING AND USE POLICIES

DataTags:

Blue: Open, no authentication needed

Green: Authentication; No authorization needed

Yellow: Authentication and Authorization; Data might be downloadable

Orange: Institution DUA needed; Data might be in TRSA

Red: Only Metadata in Dataverse; Data not downloadable

Crimson: Only Metadata in Dataverse; Data outside network

STANDARD DATA SHARING AND USE POLICIES

March 5th Workshop on "Standardizing Data Sharing, Use, and Access Agreements" hosted by Microsoft/Harvard Dataverse (by invitation only)



PRIVACY PRESERVING TOOLS: OPENDP



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OpenDP

OpenDP will be a community effort to build a system of tools for enabling privacy-protective analysis of sensitive personal data, focused on an open-source library of algorithms for generating differentially private statistical releases. We aim for this platform to become the standard body of trusted and opensource implementations of differentially private algorithms for statistical analysis and machine learning on sensitive data, and a pathway that rapidly brings the newest algorithmic developments to a wide array of practitioners. We envision OpenDP as an open-source project for the differential privacy community to develop general-purpose, vetted, usable, and scalable tools for differential privacy, which users can simply, robustly and confidently deploy. During the first year, we will run workshops and provide small research grants to build a community of DP experts committed to an open-source library of DP algorithms and a system to deploy them. Together with this community well produce a blueprint for library contributions and system deployment, and begin this development. This will enable researchers to find, explore and analyze sensitive data, and for government, industry, and other institutions to share such sources. The resulting contributions to knowledge, given the burgeoning new sources of sensitive data, will help shape all fields of knowledge on human behavior.

https://privacytools.seas.harvard.edu/

- Trustworthy differential privacy tools suite
- A community, open-source effort
- For statistical research on sensitive data
- To be launched in 2020 with Sloan funding
- A use case: sensitive data in Dataverse

Initially led by Harvard Privacy Tools (Pls: Vadhan, King, Honaker, Crosas)

Data Quality: Current Efforts



DATA CURATION TOOLS

- Combine CORE2 and YARD
- Curation and verification workflow
- Quality review based on standards
- Pls: Peer, Christian, Crabtree, Crosas

METADATA AND VOCABULARIES STANDARDS

Dataset Publishing Language Home Guides Reference Samples Support Canonical Concepts Home > Products > Dataset Publishing Language > Reference XML Schema Overview Component: Concept Attribute Concept ConceptInfo ConceptProperty Element: Concept / info ConceptTableMapping Data http://schemas.google.com/dspl/2010 Namespace DataType Id Annotations Textual information, such as the name and description of the concept. Info Localld Diagram Conceptinfo Slice Base Type Info SliceConceptRef ⊙ ☐ Info (extension base) SliceTableMapping name Table Type Values Topic description Type Values Value urt Values Type Values info Type Conceptinfo ValuesGroup pluralName Type Values totalName Type Values 0... synonym Type Values

- Better standard metadata support
- Support data vocabularies
- Consider DDI update
- Consider Google's Dataset
 Publishing Language

Reproducibility: Current Efforts

CONTAINER SUPPORT

nature

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TECHNOLOGY FEATURE · 05 NOVEMBER 2019

Make code accessible with these cloud services

Container platforms let researchers run each other's software – and check the results.

Jeffrey M. Perkel

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- Containers becoming a standard for computational reproducibility
- Add container support
- Integrate with container platforms

https://www.nature.com/articles/d41586-019-03366-x

CONTAINER SUPPORT USES CASES



Trisovic, Crosas, et al, 2020, working paper



- FAIR enables machine-actionability of data resources
- Dataverse has currently strong support for FAR, but not I
- Data creators, stewards, curators need to do their part
- Beyond FAIR, Dataverse repositories plans to support:
- **Responsible sharing** of sensitive data
- Integration with curation tools to improve data quality
- Integration with reproducible tools to verify results

THANKS @mercecrosas